

PATENT APPLICATION

In Re the Application of:

VOORHEES et al.

Serial No.: 10/666,902

Filed: September 17, 2003

Confirmation No.: 8514

Atty. File No.: 43758-00253

For: "METHOD AND APPARATUS FOR

PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE

PREOPTIC ANTERIOR HYPOTHALAMUS"

Group Art Unit: 3739

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON <u>December 15, 2004.</u>

MARSH FISCHMANN & BREYFOGLE LLP

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application. Pursuant to the Official Gazette Notice of August 5, 2003, copies of any U.S. Patents or published U.S. Patent Applications are not being submitted herewith. Copies of any foreign patent and nonpatent documents are submitted herewith.

While Applicant believes no fees are due in connection herewith, please charge any fees necessary under 37 C.F.R. 1.17(i) to Deposit Account No. 50-1419.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

Russell T. Manning, Esq.

Registration No. 51,260

3151 South Vaughn Way, Suite 411

Aurora, Colorado 80014

(720)562-5502

Date: <u>December 15, 2004</u>

OIF	_	ORMATION DISCLOSUR	E CITATION	43758-00	0253	SERIAL NO. 10/	666,902	
DEC 2	0 200	ORMATION DISCLOSUR	ssary)	FILING	10	GROUP		
00-		\$ <i>2</i> }		September 1			3739	
Chr. TO	1200	<u> </u>	U.	S. PATENT DOCUMENTS			<del></del>	
EXAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE	
	a.	4,865,047	08/12/1989	Chou, et al.	128	784		
	b.	4,662,383	05/05/1987	Sogawa, et al.	128	784		
	c.	6,090,132	07/18/2000	Fox	607	96		
								4 - 3
			<u> </u>					
		-						
v.								
		1.						
			FORE	EIGN PATENT DOCUMENTS		1	704400	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES TRANSL	NO NO
	d.	WO 99/07314	08/10/1998	Ingle, et al.				
							1	
		<u> </u>						
		OTHER DOCUM	IENTS (Includir	ng Author, Title, Date, Pertin	ent Pages, Etc.)	)	•	
					on-vo-			
		147						
XAMINE	<u>।</u> २			DATE CONSIDERED				
EYAMINE	R: Init	ial if reference considered, whole	her or not citation is	s in conformance with MPEP 609; I	Draw line through a	tation if not in a	onformana	and not
onsidered	. Incl	ude copy of this form with next of	ommunication to ap	oplicant.	Draw line (firough ci	tauon ii not in c	oniomance	anu not

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 43758-00253				
	In Re Application Of: VOORHEES, et al.								
Applic	Application No. Group Art Unit Confirmation No.								
10/6	666,902	September 17, 2003	Not Yet Assigned	25231	3739	8514			
	Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS								
	Address to:  Commissioner for Patents P.O. Box 1450  Alexandria, VA 22313-1450								
I			37 CFR 1.97(b)						
1. 🛚	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
			37 CFR 1.97(c)						
2.	The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
	☐ the statement specified in 37 CFR 1.97(e);								
	OR								
the fee set forth in 37 CFR 1.17(p).									

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  (Under 37 CFR 1.97(b) or 1.97(c))  In Re Application:  Applicatio						v *	·	
Application No. 10/666,902 September 17, 2003 Not Yet Assigned 25231 3739 8514  Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS  Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(pi))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. as described below. Credit any overpayment. Charge any additional fee required. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Passimile*  Certificate of Transmission by Passimile*  I certify that this document and authorization to charge depositing account is being fracismile transmitted to the United States Palent and Trademark Office (Fax. No. Signature Falent Amme of Person Signing Certificate  Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate	•							
Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE  ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. as described below.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  Licerity that this document and authorization to charge deposity account is being facsimile transmited to the United States Patient and Trademark Office (Fax. No.  (Date)  Signature  Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by deposit account.  *This certificate may only be used if paying by  Signature  Signature  Signature  Signature  Typed or Printed Name of Person Mailing Certificate  *This certificate may only be used if paying by  Signature  Signature  Signature  Dated: December 15, 2004  ARSH FISCHMANN & BREYFOGLE LLP  3151 South Vaughn Way, Suite 411  Aurora, Colorado 80014	<i>'</i>	R						
Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE  ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile* Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage addressed to a Signature Falsent and Trademark Office (Fax. No.  (Date)  Signature Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by  Dated: December 15, 2004  Dated: December 15, 2004  Russell T. Manning, Esq. Registration No. 51,260  MARSH FISCHMANN & BREYFOGLE LLP 3151 South Vaughn Way, Suite 411  Aurora, Colorado 80014	Application No.	Filing Date	Examiner		Customer No.	Group Art Unit	Confirmation No.	
Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))    A check in the amount of	10/666,902 September 17, 2003 Not Yet Ass			ned	25231	3739	8514	
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*    Certificate of Transmission by Facsimile*   Certificate of Mailing by First Class Mail								
A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. as described below.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  Loerlify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  Cipater Signature  Signature  Signature  Typed or Printed Name of Person Mailing Certificate  *This certificate may only be used if paying by deposit account.  Signature  Signature  Typed or Printed Name of Person Mailing Certificate  Dated: December 15, 2004  Dated: December 15, 2004  MARSH FISCHMANN & BREYFOGLE LLP  3151 South Vaughn Way, Suite 411  Aurora, Colorado 80014		(Only com			ee set forth in 37	CER 1 17(n))		
*Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by deposit account.  Dated: December 15, 2004  Signature  Russell T. Manning, Esq.  Registration No. 51,260  MARSH FISCHMANN & BREYFOGLE LLP  3151 South Vaughn Way, Suite 411  Aurora, Colorado 80014	The Director is hereby authorized to charge and credit Deposit Account No. as described below.  Charge the amount of Credit any overpayment. Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  (Date)  (Date)						ing deposited cient postage ddressed to exandria, VA	
*This certificate may only be used if paying by deposit account.  Dated: December 15, 2004  Signature  Russell T. Manning, Esq.  Registration No. 51,260  MARSH FISCHMANN & BREYFOGLE LLP  3151 South Vaughn Way, Suite 411  Aurora, Colorado 80014	Typed or Prin	nted Name of Person Sign	sing Certificate	Tvn			ertificate	
	*This certificate deposit account acco	e may only be used it.  Signature  Ssq.  So  N & BREYFOGLE  Vay, Suite 411	f paying by				ertificate	